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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No. 018733/0996

Applicant: **Shui-on LEUNG *et al.***

Title: **IMMUNOCONJUGATES AND HUMANIZED ANTIBODIES
SPECIFIC FOR B-CELL LYMPHOMA AND LEUKEMIA CELS**

Appl. No.: **09/741,843**

Filing Date: **December 22, 2000**

Examiner: **Not Yet Assigned**

Art Unit: **Not Yet Assigned**

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.56

Commissioner for Patents
Washington, D.C. 20231

Sir:

Submitted herewith on Form PTO-1449 is a listing of documents know to Applicants in order to comply with Applicants' duty to disclosure pursuant to 37 C.F.R. § 1.56.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or is considered to be material to patentability as defined in 37 C.F.R. § 1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a prima facie prior art reference against the claims of the present application.

CONCISE EXPLANATION OF
RELEVANCE OF EACH DOCUMENT

Applicants are submitting herewith on Form PTO-1449, a listing of the documents cited by or submitted to the Patent Office in parent application Serial No. 09/127,902, filed August 3, 1998. The relevance of these documents is explained in the parent application.

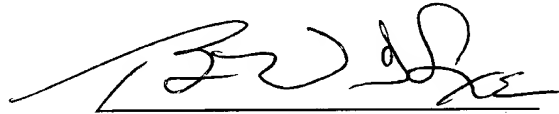
As provided in 37 C.F.R. § 1.98(d), copies of the documents are not being provided since they were previously cited by or submitted to the Patent Office in parent application Serial No. 09/127,902, filed August 3, 1998.

Since this Information Disclosure Statement is being filed in compliance with 37 C.F.R. § 1.97(b) prior to the mailing date of the first official action, no fee is required in connection with its filing.

Applicants respectfully request that the listed documents be considered by the Examiner and be made of record in the present application and that an initialized copy of Form PTO-1449 be returned in accordance with M.P.E.P. § 609.

Respectfully submitted,

April 26, 2001
Date


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018733/0996SERIAL NO.
09/741,843

INFORMATION DISCLOSURE CITATION

APPLICANT

Shui-on LEUNG et al.

(Use several sheets if necessary)

FILING DATE

December 22, 2000

GROUP ART UNIT

not yet assigned

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | REF | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB- CLASS | FILING DATE IF APPROPRIATE |
|---------------------|-----|--------------------|---------|--------------|-------|---------------|----------------------------------|
| | A1 | 5,057,313 | 10/1991 | Shih et al. | | | |
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FOREIGN PATENT DOCUMENTS

| | REF | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUB- CLASS | TRANSLATION | |
|--|-----|--------------------|------------|---------|-------|---------------|-------------|----|
| | | | | | | | YES | NO |
| | A3 | 0 239 400 | 09/30/1987 | EPO | | | | |
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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| | A4 | Baum et al., Cancer 73(Supl. 3):896-899 (February 1, 1994) |
| | A5 | Belise et al., Proc. of the Am. Assn. for Can. Res., 34:481, #2873 (March 1993) |
| | A6 | Goldenberg, J. Clin. Oncology 9(4):548-564 (April 1991) |
| | A7 | Goldenberg, Scientific Am. Sci. & Med., pp. 64-73 (March/April 1994) |
| | A8 | Gussow and Seeman, Meth. in Enzymology 203:99-121 (1991) |

EXAMINER

DATE CONSIDERED

* EXAMINER: Initial if citation considered, whether or not citation is in conference with MPEP 609; Draw line through citation if not in conference and not considered. Include any copy of this form with next communication to applicant.

